

Survey Analysis for Evaluating Risk™ (SAFER™)

Insight into your risk

Survey Analysis for Evaluating Risk™ (SAFER™) Matrix

The Joint Commission has developed the **Survey Analysis for Evaluating Risk™ (SAFER™) Matrix** to provide health care organizations with the information they need to prioritize resources and focus corrective action plans in areas that are most in need of compliance activities and interventions. Each Requirement for Improvement (RFI) noted within your final report will be plotted on the SAFER™ Matrix according to the likelihood the RFI could cause harm to patient(s), staff, and/or visitor(s) and the scope at which the RFI was observed. As the risk level of a RFI increases, the placement of the standard and EP moves from the bottom left corner (lowest risk level) to the upper right (highest risk level).



SAFER™ will help your organization to:

- More easily identify RFIs with higher risk
- Identify potential for widespread quality initiatives
- Better organize survey findings by level of potential patient impact
- Have one, comprehensive visual representation of survey findings



SAFER™ Impacts

- SAFER™ Matrix will be included in all survey reports and will drive the level of post survey follow up required.
- All RFIs will be addressed in a 60 day Evidence of Standards Compliance Report
- For higher risk level RFIs, additional detail is required regarding corrective action sustainment.
- RFIs of a higher risk level will be highlighted for surveyors, for potential review on subsequent surveys.

Additional details can be found in the May 2016 issue of Perspectives located on your organizations Extranet site.

SAFER™ Matrix																	
	<p>Immediate Threat to Life (a threat that represents immediate risk or may potentially have serious adverse effects on the health of the patient, resident, or individual served)</p> <table border="1"> <tr> <td>HIGH (harm could happen at any time)</td> <td></td> <td></td> <td></td> </tr> <tr> <td>MODERATE (harm could happen occasionally)</td> <td></td> <td></td> <td></td> </tr> <tr> <td>LOW (harm could happen, but would be rare)</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>LIMITED (unique occurrence that is not representative of routine/regular practice)</td> <td>PATTERN (multiple occurrences with potential to impact few/some patients, visitors, staff and/or settings)</td> <td>WIDESPREAD (multiple occurrences with potential to impact most/all patients, visitors, staff and/or settings)</td> </tr> </table>	HIGH (harm could happen at any time)				MODERATE (harm could happen occasionally)				LOW (harm could happen, but would be rare)					LIMITED (unique occurrence that is not representative of routine/regular practice)	PATTERN (multiple occurrences with potential to impact few/some patients, visitors, staff and/or settings)	WIDESPREAD (multiple occurrences with potential to impact most/all patients, visitors, staff and/or settings)
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<p>Placement of RFI on SAFER™ Matrix and Follow-Up Activity</p> <table border="1"> <thead> <tr> <th>SAFER™ Matrix Placement</th> <th>Required Follow-Up Activity</th> </tr> </thead> <tbody> <tr> <td>LOW / LIMITED</td> <td> <ul style="list-style-type: none"> • 60 day Evidence of Standards Compliance (ESC) • ESC will include Who, What, When, and How sections </td> </tr> <tr> <td>MODERATE / LIMITED, LOW / PATTERN, LOW / WIDESPREAD</td> <td> <ul style="list-style-type: none"> • 60 day Evidence of Standards Compliance (ESC) • ESC will include Who, What, When, and How sections </td> </tr> <tr> <td>MODERATE / PATTERN, MODERATE / WIDESPREAD</td> <td> <ul style="list-style-type: none"> • 60 day Evidence of Standards Compliance (ESC) • ESC will include Who, What, When, and How sections • ESC will also include two additional areas surrounding Leadership Involvement and Preventive Analysis • Finding will be highlighted for potential review by surveyors on subsequent onsite surveys up to and including the next full triennial survey </td> </tr> <tr> <td>HIGH / LIMITED, HIGH / PATTERN, HIGH / WIDESPREAD</td> <td> <ul style="list-style-type: none"> • 60 day Evidence of Standards Compliance (ESC) • ESC will include Who, What, When, and How sections • ESC will also include two additional areas surrounding Leadership Involvement and Preventive Analysis • Finding will be highlighted for potential review by surveyors on subsequent onsite surveys up to and including the next full triennial survey </td> </tr> </tbody> </table> <p><small>Note: If an Immediate Threat to Health and Safety, also known as Immediate Threat to Life (ITL), is discovered during a survey, the organization immediately receives a preliminary alert of accreditation if DA and, within 72 hours, must either re-evaluate the ITL or announce an emergency intervention to avert the risk to patients (with a maximum of 28 days to reach) announce the fix. Please see the accreditation process chapter within the current performance improvement manual for more information.</small></p>		SAFER™ Matrix Placement	Required Follow-Up Activity	LOW / LIMITED	<ul style="list-style-type: none"> • 60 day Evidence of Standards Compliance (ESC) • ESC will include Who, What, When, and How sections 	MODERATE / LIMITED, LOW / PATTERN, LOW / WIDESPREAD	<ul style="list-style-type: none"> • 60 day Evidence of Standards Compliance (ESC) • ESC will include Who, What, When, and How sections 	MODERATE / PATTERN, MODERATE / WIDESPREAD	<ul style="list-style-type: none"> • 60 day Evidence of Standards Compliance (ESC) • ESC will include Who, What, When, and How sections • ESC will also include two additional areas surrounding Leadership Involvement and Preventive Analysis • Finding will be highlighted for potential review by surveyors on subsequent onsite surveys up to and including the next full triennial survey 	HIGH / LIMITED, HIGH / PATTERN, HIGH / WIDESPREAD	<ul style="list-style-type: none"> • 60 day Evidence of Standards Compliance (ESC) • ESC will include Who, What, When, and How sections • ESC will also include two additional areas surrounding Leadership Involvement and Preventive Analysis • Finding will be highlighted for potential review by surveyors on subsequent onsite surveys up to and including the next full triennial survey 						
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