

Reference Guide: Emergency Management (EM) Standards

*Effective July 1, 2023: Home Health Agencies (HHA)// Other Home Care services/settings (OME)
Hospice-patient residence (PR)// Hospice-facility based (FB)*

New EM Standards	EP	Area	Emergency Management Topic	Apply				Prior EM Standards & EPs
				HHA	OME	HOS-PR	HOS-FB	
EM.09.01.01	1	EM Program	Written EM Program w/all-hazards approach	X	X	X	X	N/A
EM.09.01.01	2	EM Program	Separately certified organizations (with unified/integrated EM Program)	X	X	X	X	04.01.01/1,2,3
EM.09.01.01	3	EM Program	Complies with laws and regulations	X	X	X	X	N/A
EM.10.01.01	1	EM Leadership	Organization leaders provide oversight & support	X	X	X	X	01.01.01/1; 02.01.01/1
EM.10.01.01	2	EM Leadership	Qualified individual to lead the EM Program	X	X	X	X	N/A
EM.10.01.01	3	EM Leadership	Committee structure & goals for EM Program	X	X	X	X	N/A
EM.10.01.01	4	EM Leadership	Committee provides input	X	X	X	X	N/A
EM.11.01.01	1	HVA	Conducting hazard vulnerability analysis (HVA)	X	X	X	X	01.01.01/2, 9
EM.11.01.01	2	HVA	HVA: natural, human, tech, hazmat, infectious disease	X	X	X	X	N/A
EM.11.01.01	3	HVA	Prioritizes findings of HVA	X	X	X	X	01.01.01/3
EM.11.01.01	4	HVA	Uses HVA for mitigation & preparedness actions	X	X	X	X	01.01.01/5; 12.02.09/1
EM.12.01.01	1	EOP-Planning	EOP is written all-hazards, including several plans	X	X	X	X	02.01.01/2
EM.12.01.01	2	EOP-Planning	EOP identifies patient populations	X	X	X	X	02.02.1/11
EM.12.01.01	3	EOP-Planning	EOP includes shelter-in-place and evacuation	n/a	n/a	n/a	X	02.01.01/15; 02.02.11/3,13
EM.12.01.01	4	EOP-Planning	EOP includes providing essential needs for staff/patients	n/a	n/a	n/a	X	02.02.11/5
EM.12.01.01	5	EOP-Planning	EOP describes incident command operations roles & activation processes	X	X	X	X	02.01.01/5, 6
EM.12.01.01	6	EOP-Planning	EOP includes process to cooperate/collaborate	X	X	X	X	02.02.01/22
EM.12.01.01	9	EOP-Planning	EOP identifies 1135 waiver procedures	n/a	n/a	n/a	X	02.01.01/7, 14
EM.12.02.01	1	EOP-Communications	Contact lists with names and contact info	X	X	X	X	02.02.01/20
EM.12.02.01	2	EOP-Communications	Coordinated messages and information during incident	X	X	X	X	02.02.01/1,5
EM.12.02.01	3	EOP-Communications	Communication and reports to relevant authorities	X	n/a	X	X	01.01.01/4
EM.12.02.01	5	EOP-Communications	Method for sharing & releasing patient information	X	X	X	X	02.02.01/21
EM.12.02.01	6	EOP-Communications	Primary and Secondary means of communicating	X	X	X	X	02.02.01/14,21; 02.02.11/13
EM.12.02.03	1	EOP-Staffing	Staffing plan to manage staff & volunteers	X	X	X	X	02.02.07/1,10,12
EM.12.02.03	2	EOP-Staffing	Roles, responsibilities & reporting of staff and volunteers	X	X	X	X	02.02.07/2,3,4
EM.12.02.03	6	EOP-Staffing	Providing employee assistance and support	X	X	X	X	02.02.07/5

EM.12.02.05	1	EOP- Patient Clinical / Support	Written procedures with other health care providers re: patient information & transportation	X	n/a	X	X	02.02.03/8,9; 02.02.11/1
EM.12.02.05	4	EOP- Patient Clinical/ Support	Written procedures for an individual's home EM plan	X	n/a	n/a	n/a	02.01.01/2
EM.12.02.05	5	EOP- Patient Clinical / Support	Procedures to inform state/local agencies patients in need of evacuation from their residence	X	*PR only	X	n/a	02.02.01/18
EM.12.02.05	6	EOP- Patient Clinical / Support	Procedures to inform authorities of staff/patients they are unable to locate	X	*PR only	X	X	02.02.01/19
EM.12.02.07	2	EOP- Safety & Security	Tracking on-duty staff and patients	n/a	n/a	n/a	X	02.02.07/11; 02.02.11/12
EM.12.02.07	3	EOP- Safety & Security	Safety plan for staff in field (tracking of staff, self-preservation, & unsafe travel conditions/routes)	X	*PR only	X	n/a	N/A
EM.12.02.09	1	EOP- Resources & Assets	Written plan for managing resources and assets (tracking, monitoring, locating)	X	X	X	X	01.01.01/6 02.02.09/3,4
EM.12.02.09	2	EOP- Resources & Assets	Coordinates with external sources for additional supplies (obtaining, allocating, mobilizing, replenishing).	X	X	X	X	02.02.03/1, 2, 3
EM.12.02.11	1	EOP- Utilities	Written plan for managing essential/critical utilities	X	X	X	X	02.02.09/7
EM.12.02.11	4	EOP- Utilities	Plan for managing alternative power/systems	n/a	n/a	n/a	X	02.02.01/24 02.02.09/1,2,7
EM.13.01.01	1	Continuity of Operations Plan	Written Continuity of Operations Plan (COOP)	X	X	X	X	02.01.01/12
EM.13.01.01	2	Continuity of Operations Plan	Written plan for secondary location	X	X	X	X	N/A
EM.13.01.01	3	Continuity of Operations Plan	Written succession plan	X	X	X	X	02.01.01/12
EM.13.01.01	4	Continuity of Operations Plan	Written delegation of authority plan	X	X	X	X	02.01.01/12
EM.14.01.01	1	Disaster Recovery	Written strategies for assessments, restoration	X	X	X	X	02.01.01/4
EM.15.01.01	1	Staff Education/Training	Written education and training program	X	X	X	X	02.02.07/21
EM.15.01.01	2	Staff Education/Training	Initial education and training	X	X	X	X	02.02.07/7
EM.15.01.01	3	Staff Education/Training	Ongoing education and training	X	X	X	X	02.01.01/17. 02.02.07/13;
EM.16.01.01	1	Testing the EOP	Written plan for annual testing of EOP	X	X	X	X	03.01.03/5,10,17, 18,19
EM.16.01.01	2	Testing the EOP	Conduct two (2) exercises per year (facility-based hospices)	n/a	n/a	n/a	X	03.01.03/3
EM.16.01.01	4	Testing the EOP	Conduct one (1) exercise per year (home-based care/other)	X	X	X	n/a	03.01.03/20
EM.17.01.01	1	Eval of EM Program	Committee reviews exercises/actual emergencies. Develops After-action/improvement plans	X	X	X	X	03.01.03/13,14
EM.17.01.01	2	Eval of EM Program	After actions/improvement plans forwarded to leadership for review	X	X	X	X	N/A
EM.17.01.01	3	Eval of EM Program	Updates/reviews the EOP, policies & procedures at least every 2 years	X	X	X	X	02.01.01/10; 03.01.03/16

Key: *PR only=only applies to services provided in a patient's residence (respiratory [clinical & equipment], personal care, DME, & home infusion therapy)