Reference Guide: Emergency Management (EM) Standards

*Effective July 1, 2024: Ambulatory Health Care (AHC) // Ambulatory Surgical Care (ASC) //
Diagnostic Imaging (ADI)// Telehealth (surgical/nonsurgical) (TELE)*

New EM Standards	EP	Area	Emergency Management Topic	Apply				Prior EM Standards & EPs
				AHC	ASC	ADI	TELE	
EM.09.01.01	1	EM Program	Written EM Program w/all-hazards approach	X	X	X	n/a	N/A
EM.09.01.01	2	EM Program	Unified & integrated EM Programs for separately certified. *Deemed ASCs only*	n/a	X	n/a	n/a	04.01.01/1,2,3
EM.09.01.01	3	EM Program	Complies with emergency preparedness law and regulation	X	X	X	X	N/A
EM.10.01.01	1	EM Leadership	Organization leaders provide oversight & support	X	X	X	X	01.01.01/1 & 02.01.01/1
EM.10.01.01	2	EM Leadership	Qualified individual to lead the EM Program	X	X	X	X	N/A
EM.11.01.01	1	HVA	Conducting hazard vulnerability analysis (HVA)	X	X	X	X	01.01.01/2, 9
EM.11.01.01	2	HVA	HVA: natural, human, tech, hazmat, infectious disease	X	X	X	n/a	N/A
EM.11.01.01	3	HVA	Prioritizes findings of HVA	X	X	X	X	01.01.01/3
EM.11.01.01	4	HVA	Uses HVA for mitigation & preparedness actions	X	X	X	X	01.01.01/5
EM.12.01.01	1	EOP-Planning	EOP is written all-hazards, including several plans	X	X	X	X	02.01.01/2
EM.12.01.01	2	EOP-Planning	EOP identifies patient populations	X	X	X	n/a	02.01.1/11
EM.12.01.01	3	EOP-Planning	EOP includes shelter-in-place and evacuation	X	X	X	X	02.01.01/15 & 02.02.11/3,13
EM.12.01.01	5	EOP-Planning	EOP describes activation processes	X	X	X	X	02.01.01/5, 6
EM.12.01.01	6	EOP-Planning	EOP includes process to cooperate/collaborate *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.01/22
EM.12.01.01	9	EOP-Planning	EOP identifies 1135 waiver procedures *Deemed ASCs only*	n/a	X	n/a	n/a	02.01.01/7, 14
EM.12.02.01	1	EOP-Communications	Contact lists with names and contact info	X	X	X	X	02.02.01/20
EM.12.02.01	2	EOP-Communications	Coordinated messages and information during incident	X	X	X	X	02.02.01/1,3,4
EM.12.02.01	3	EOP-Communications	Communication and reports to relevant authorities *Deemed ASCs only*	n/a	X	n/a	n/a	01.01.01/4
EM.12.02.01	5	EOP-Communications	Method for sharing & releasing patient information *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.01/12, 21, 23
EM.12.02.01	6	EOP-Communications	Primary and Secondary means of communicating *Deemed ASCs only*	n/a	X	n/a	n/a	02.02.01/14, 21
EM.12.02.03	1	EOP-Staffing	Staffing plan to manage staff & volunteers *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.07/1,10,14
EM.12.02.03	2	EOP-Staffing	Roles, responsibilities & reporting of staff and volunteers *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.07/2, 3, 4
EM.12.02.03	4	EOP-Staffing	Volunteer licensed practitioners *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.13/1, 4, 5, 6, 7, 8, 9 & 02.02.15/1, 4, 5, 6, 7, 8, 9
EM.12.02.03	5	EOP-Staffing	Granting disaster privileges *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.13/2 & 02.02.15/2
EM.12.02.03	6	EOP-Staffing	Providing employee assistance and support *Applies to select AHC settings/services*	X	X	n/a	n/a	N/A

New EM Standards	EP	Area	Emergency Management Topic	Apply				Prior EM Standards & EPs
				AHC	ASC	ADI	TELE	
EM.12.02.05	1	EOP- Patient Clinical / Support	Plan for providing patient care with other health care providers *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.03/9 & 02.02.11/1
EM.12.02.07	1	EOP- Safety & Security	Plan for safety & security measures to take during an incident	X	X	X	X	02.02.05/1
EM.12.02.07	2	EOP- Safety & Security	Tracking on-duty staff and patients *Deemed ASCs only*	n/a	X	n/a	X	02.02.07/11 & 02.02.11/12
EM.12.02.09	1	EOP- Resources & Assets	Plan for managing resources and assets *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.09/3,4
EM.12.02.09	2	EOP- Resources & Assets	Mobilizing additional resources and supplies *Applies to select AHC settings/services*	X	X	n/a	n/a	01.01.01/6 & 02.02.07/1 & 02.02.03/1, 2, 3
EM.12.02.11	1	EOP- Utilities	Plan for managing essential/critical utilities *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.09/8
EM.12.02.11	3	EOP- Utilities	Alternative means for providing essential/critical utilities *Applies to select AHC settings/services*	X	X	n/a	n/a	02.02.09/1
EM.13.01.01	1	Continuity of Operations Plan	Written Continuity of Operations Plan (COOP) *Deemed ASCs only*	n/a	X	n/a	n/a	02.01.01/12
EM.13.01.01	3	Continuity of Operations Plan	Written succession plan *Deemed ASCs only*	n/a	X	n/a	n/a	02.01.01/12
EM.13.01.01	4	Continuity of Operations Plan	Written delegation of authority plan *Deemed ASCs only*	n/a	X	n/a	n/a	02.01.01/12
EM.14.01.01	1	Disaster Recovery	Written strategies for disaster assessments & restoration	X	X	X	X	02.01.01/4
EM.15.01.01	1	Staff Education/Training	Written education and training program *Deemed ASCs only*	n/a	X	n/a	n/a	02.02.07/21
EM.15.01.01	2	Staff Education/Training	Initial & ongoing EM education and training for staff	X	X	X	X	02.02.07/7
EM.15.01.01	3	Staff Education/Training	Education & training provided every two years or role change *Deemed ASCs only*	n/a	X	n/a	n/a	02.02.07/13;
EM.16.01.01	1	Testing the EOP	Written plan for annual testing of EOP *Deemed ASCs only*	n/a	X	n/a	n/a	03.01.03/5,10,17
EM.16.01.01	4	Testing the EOP	Conduct one (1) operations-based exercise/year and one (1) discussion-based in alternate years *Deemed ASCs only*	n/a	X	n/a	n/a	03.01.03/3
EM.16.01.01	5	Testing the EOP	Conduct one (1) exercise of choice/year (either operations-based or discussion-based)	X	n/a	X	X	N/A
EM.17.01.01	1	Eval of EM Program	Committee reviews exercises/actual emergencies. Develops After-action//improvement plans	X	X	X	X	03.01.03/13,14
EM.17.01.01	2	Eval of EM Program	After actions/improvement plans forwarded to leadership for review	X	X	X	X	N/A
EM.17.01.01	3	Eval of EM Program	Updates/reviews the EOP, policies & procedures at least every 2 years	X	X	X	X	02.01.01/10 & 03.01.03/16

Key: *Applies to select AHC settings/service= Episodic care, urgent/immediate care, kidney care, medical centers
Note 1: Standards/EPs related to FQHCs are found under AHC (medical or dental centers)
Note 2: For more details specific to standards/EPs, please see the Standards Applicability Grid in the Comprehensive Ambulatory Care Manual