The Joint Commission has redesigned the layout of the Evidence of Standards Compliance (ESC) form to focus organizations on communicating critical aspects of their corrective actions that resolve Requirements for Improvement (RFIs), as well as ensure sustainability. Within the improved ESC form, organizations can expect required fields for completion with simplified lead-in statements driving targeted responses for successful completion within the first submission.

**Implementation date & inclusion details:**

- Surveys beginning on/after April 10, 2017
- A phased roll-out approach with initial implementation for the following:
  - Ambulatory Care Accreditation Program (if a survey includes the Ambulatory Care Accreditation Program, all other programs surveyed at that time will utilize the new ESC form as well)
  - Deemed Psychiatric Hospitals (if a survey includes a deemed Psychiatric Hospital, all other programs surveyed at that time will utilize the new ESC form as well)
- Roll-out to all other Accreditation & Certification programs mid-year

**Benefits of the redesigned ESC include:**

- New formatting clearly and concisely lays out expectations for successful completion
- Simplified layout enables organizations to provide relevant information that better aligns with proven performance improvement methodologies
- Allows flexibility for organizations to implement corrective actions within their unique environment; however, provides guidance to hone-in on key elements of effective compliance
- Provision of clear, concise and acceptable program specific examples
- Enhanced focus on sustained compliance

**What’s Not Changing?**

- Corrective actions need to be completed at the time of the ESC submission, within one 60-day submission timeframe (45-day ESC submission timeframe eliminated with January 2017 changes to the post-survey process)
  *Please note, if a survey event results in a Preliminary Denial of Accreditation status, other follow-up events and timeframes for completion/submission may apply.*
- Pathway to access ESC and guidelines remains the same (via The Joint Commission Connect™ extranet site)

Who to contact with questions: Your organization’s assigned Account Executive
Below is a comparison of the previous ESC format and the new and improved layout to drive successful completion:

<table>
<thead>
<tr>
<th>THEN</th>
<th>NOW</th>
</tr>
</thead>
</table>
| **WHO** is ultimately responsible for the corrective action? | Assigning Accountability:  
  • Who is ultimately responsible for corrective action and sustained compliance? |
| N/A prior to the roll-out of SAFER™ | Assigning Accountability - Leadership Involvement:  
  • Which member(s) of leadership are supporting future compliance?  
  *ESC field implemented with the roll-out of SAFER & required for higher risk RFIs only, within the dark orange and red matrix boxes |
| N/A prior to the roll-out of SAFER™ | Correcting the Non-Compliance - Preventive Analysis:  
  • What analysis was completed to ensure not only the noncompliant issue was corrected (surface/high level resolution), but also any underlying reasons for the failure were addressed as well?  
  *ESC field implemented with the roll-out of SAFER & required for higher risk RFIs only, within the dark orange and red matrix boxes |
| **WHAT** actions were completed to correct each finding? | Correcting the Non-Compliance: The “What” and “When” sections were combined  
  • What actions were taken to correct each finding?  
  • When were all actions completed (indicated by one final date)? |
| **WHEN** were each of the actions completed? | Ensuring Sustained Compliance:  
  • What procedures/activities have been identified to monitor compliance?  
  • What is the frequency of the monitoring activities?  
  • What data will be collected from these activities?  
  • How, and to whom, will this data be reported? |

**How to contact with questions:** Your organization’s assigned Account Executive