

Office-Based Surgery

Top Compliance Issues in 2007

Standards:

HR.4.20 (28%) Individuals permitted by law and the practice to practice independently are granted privileges.

HR.4.10 (22%) There is a process for ensuring the competence of all practitioners permitted by law and the practice to practice independently.

MM.2.20 (13%) Medications are properly and safely stored.

MM.2.30 (11%) Emergency medications and/or supplies, if any, are consistently available, controlled, and secured. **Note:** *The requirements [in Standard MM.2.30] for emergency medications are in addition to the requirements of Standard MM.2.20, which are also applicable to emergency medications.*

PC.16.10 (11%) The director named on the CLIA certificate establishes policies and procedures that define the context in which waived test results are used in patient care, treatment, and services.

PI.3.20 (11%) An ongoing, proactive program for identifying and reducing unanticipated adverse events and safety risks to patients is defined and implemented.

APR 17 (11%) The practice educates its staff that any employee who has concerns about the safety or quality of care provided in the practice may report these concerns to the Joint Commission.

National Patient Safety Goals:

Goal 3, Requirement 3D (26%) Label all medications, medication containers (for example, syringes, medicine cups, basins), or other solutions on and off the sterile field.

Goal 8, Requirement 8B (15%) A complete list of the patient's medications is communicated to the next provider of service when a patient is referred or transferred to another setting, service, practitioner, or level of care within or outside the organization. The complete list of medications is also provided to the patient on discharge from the facility.

Goal 2, Requirement 2B (11%) Standardize a list of abbreviations, acronyms, symbols, and dose designations that are not to be used throughout the organization.

Universal Protocol 1, Requirement 1C (24%) Conduct a "time-out" immediately before starting the procedure as described in the Universal Protocol.